



O I P E J C R S  
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PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/904,613	07/12/2001	Dipayan Ganguly	04350.P001

CONFIRMATION NO. 2977

FORMALITIES LETTER



\*OC00000006470539\*

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

Date Mailed: 08/24/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice MUST be returned with the reply.*

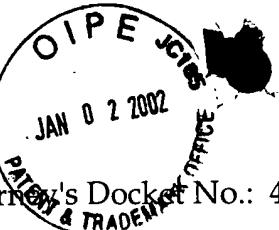
*Mur*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/04/2002 KZEW/DIE 00000001 09904613

01 FC:205

65.00 OP



Attorney's Docket No.: 4350.P001

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AB  
#3

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Gangopadhyay,  
et al.

Application No. 09/904,613

Filed: July 12, 2001

For: CERTIFICATE MATCHING

Commissioner For Patents  
Washington, D.C. 20231-0001

Examiner: Not yet assigned

Art Unit: Not yet assigned

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231-0001 on November 21, 2001

Judy L. Steinkraus

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed August 24, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$65.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;
- (4) a return receipt postcard;
- (5) a Petition for a one month extension of time under 37 C.F.R. § 1.136(a); and
- (6) a check in the amount of \$55.00 in payment of the extension of time fee.

Authorization is hereby given to charge our Deposit Account No. 02-2666  
for any charges that may be due.

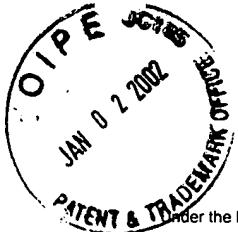
Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: November 21, 2001

John P. Ward  
Reg. No. 40,216

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300



PTO/SB/17(09/00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

H3  
**FEE TRANSMITTAL FOR FY 2002****TOTAL AMOUNT OF PAYMENT \$120.00****Complete if Known:**Application No. 09/904,613Filing Date July 12, 2001First Named Inventor Gangopadhyay, et al.

Group Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket No. 4350.P001

**METHOD OF PAYMENT (check one)**

1.  The Commissioner is hereby authorized to charge deficiencies in fees and credit any over payments to:

Deposit Account Number 2-2666

Deposit Account Name \_\_\_\_\_

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

2. X Payment Enclosed: X Checks  
\_\_\_\_\_  
\_\_\_\_\_  
Money Order  
Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
Utility application filing fee			
Design application filing fee			
Plant filing fee			
Reissue filing fee			
Provisional application filing fee			

SUBTOTAL (1) \$ \_\_\_\_\_

**2. EXTRA CLAIM FEES**

Total Claims	- 20** =	X	=
Independent Claims	- 3** =	X	=
Multiple Dependent			

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description	Fee from below	Fee Paid
Fee	Fee	Fee		
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ \_\_\_\_\_

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>	<u>Fee Paid</u>
105	130	205	65	Surcharge - late filing fee or oath	<u>65.00</u>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
099	8,800	099	8,800	Request for inter parties reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	<u>55.00</u>
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	
148	110	248	55	Statutory Disclaimer	
149	740	249	370	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee for early, voluntary, or normal pub.	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
091	1,280	091	1,280	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$120.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: John P. Ward

Signature: \_\_\_\_\_ Date: November 21, 2001

Reg. Number: 40,216

Telephone Number: (408) 720-8300 x237



## FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231-0001 on 11/21/01 Box MISSING PARTS,

Date of Deposit

JUDY L. STEINKRAUS

Name of Person Mailing Correspondence

Judy L. Steinkraus

Signature

11/21/01

Date

Serial/Patent No.: 09/904,613

Filing/Issue Date: July 12, 2001

Client: Icarian, Inc.

Title: CERTIFICATE MATCHING

BSTZ File No.: 4350.P001

Atty/Secty Initials: IPW:jxs

Date Mailed: November 21, 2001

Docket Due Date: November 24, 2001

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (\_\_\_\_ pgs.)
  - Appeal Brief (\_\_\_\_ pgs.) (in triplicate)
  - Application - Utility (\_\_\_\_ pgs., with cover and abstract)
  - Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)
  - Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)
  - Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.)
  - Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_ pgs.)
  - Application - Design (\_\_\_\_ pgs.)
  - Application - PCT (\_\_\_\_ pgs.)
  - Application - Provisional (\_\_\_\_ pgs.)
  - Assignment and Cover Sheet
  - Certificate of Mailing
  - Declaration & POA (4 pgs.) (two sets, executed)
  - Disclosure Docs & Qig & Copy of Invenitols Signed Letter (\_\_\_\_ pgs.)
  - Drawings: \_\_\_\_ # of sheets includes \_\_\_\_ figures
  - Postcard
  - Power of Attorney (\_\_\_\_ pgs.)
  - Preliminary Amendment (\_\_\_\_ pgs.)
  - Reply Brief (\_\_\_\_ pgs.)
  - Response to Notice of Missing Parts (2 pages + duplicate)
  - Small Entity Declaration for Indep. Inventor/Small Business
  - Transmittal Letter, in duplicate
  - Fee Transmittal, in duplicate (2 pages)
- Other: Copy of Notice to File Missing Parts (1 page)